Effect of Locally Delivered Minocycline Microspheres on Markers of Bone Resorption


Background: Gingival crevicular fluid (GCF) biomarkers associated with bone resorption may be useful to determine periodontal disease status and response to therapy. The pyridinoline cross-linked carboxy-terminal telopeptide of type I collagen (ICTP), a bone-specific degradation product, and interleukin 1-beta (IL-1), a potent bone-resorptive cytokine, have both been associated with periodontal disease activity. Minocycline is a tetracycline derivative possessing antimicrobial effects on periodontal pathogens and inhibitory properties on matrix metalloproteinases (MMPs) associated with tissue destruction. The aim of this study was to evaluate the effect of periodontal treatment in the form of scaling and root planing (SRP) and locally administered minocycline microspheres on the GCF levels of ICTP and IL-1.

Methods: Forty-eight chronic periodontitis patients were randomly assigned to 2 groups (SRP plus subgingival application of vehicle control [SRP + V], or SRP plus subgingival application of minocycline microspheres [SRP + M]) and monitored at 8 sites per subject at baseline and 1, 3, and 6 months. Four shallow (PD ≤3 mm) and 4 deep (PD ≥5 mm) sites were evaluated for both marker levels and for probing depth (PD), clinical attachment level (CAL), and bleeding on probing (BOP). Eight periodontally healthy control subjects with no probing depths >3 mm and no loss of attachment were also monitored at the same time intervals. GCF levels of ICTP and IL-1 were determined using radioimmunoassay and enzyme-linked immunosorbent assay techniques, respectively.

Results: Significant differences (P<0.001) in GCF levels of ICTP and IL-1 were found between deep and shallow sites at all time points in both treatment groups. In addition, healthy subjects demonstrated significantly reduced levels of both markers compared to both shallow and deep sites in periodontitis patients (P <0.001). Only the SRP + M treated patients exhibited significant reductions (P <0.05) in both ICTP and IL-1 levels 1 month after treatment. Furthermore, the SRP + M group demonstrated significantly lower IL-1 levels (P <0.02) at 1 month compared to the SRP + V group.

Conclusions: Results of this study indicate that GCF levels of ICTP and IL-1 correlate with clinical measures of periodontal disease and may aid in assessing disease status and response to periodontal therapy. Furthermore, local administration of minocycline microspheres led to a potent short-term reduction in GCF IL-1 levels. Additional studies are needed to address whether repeated administration of scaling and root planing along with minocycline microspheres will achieve long-term reductions in GCF ICTP and IL-1 levels. J Periodontol 2002;73:835-842.

KEY WORDS
Bone resorption; clinical trials; drug delivery systems; interleukin-1; periodontal diseases/therapy; pyridinoline cross-links; collagen.

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Periodontal diagnostic methods have traditionally relied on visual and physical assessments of gingival inflammation and periodontal tissue damage (e.g., gingival redness, bleeding on probing, probing depth and clinical attachment level measurements, and radiographic examination). These methods have proven effective as post hoc indicators of periodontal disease history; however, they are unable to identify highly susceptible patients or to distinguish between disease-active and inactive sites. Therefore, diagnostic tests that can detect the presence of active disease, predict future disease progression, and evaluate the response to periodontal therapy may prove valuable in improving the clinical management of periodontal patients.

Gingival crevicular fluid (GCF) is a modified serum transudate or inflammatory exudate derived from the periodontal tissues that appears during altered states of vascular permeability associated with gingival inflammation. GCF constituents are derived from serum, host connective tissue and epithelium, inflammatory cells, and bacteria. Many GCF components have been evaluated for potential use as periodontal diagnostic aids. However, most of the investigated markers are not specific for alveolar bone destruction, and may therefore not distinguish between gingivitis and active periodontitis (i.e., progressive attachment loss). The results of these studies suggest that utilization of ICTP may be beneficial to identify and predict periodontal disease progression and monitor response to treatment.

Interleukin-1 (IL-1) is a potent bone-resorbing cytokine produced by a variety of cells including monocytes, neutrophils, fibroblasts, and keratinocytes. IL-1β is the major form secreted by monocytes, and its production is stimulated by bacterial lipopolysaccharide. Once secreted, IL-1 may activate lymphocytes, incite macrophage chemotaxis and prostaglandin production, and stimulate osteoclastic resorption of bone. IL-1 has been detected in gingival biopsies and in the GCF of periodontal patients.

Minocycline HCl is a tetracycline derivative that is active against a broad spectrum of Gram-negative and Gram-positive anaerobes including organisms implicated in chronic periodontitis. In addition, tetracycline derivatives such as minocycline inhibit MMPs that destroy constituents of the periodontium including collagen, elastin fibers, proteoglycans, and fibronectin. Recently, minocycline has been incorporated into a controlled-release bioabsorbable polymer (poly glycolide-co-dl-lactide) or PGLA, which serves as the encapsulating medium. This delivery system releases the antibiotic over a 2-week period at the site of administration at concentrations exceeding 300 μg/ml as measured in the GCF. A recent clinical trial demonstrated that utilization of this therapy significantly enhanced scaling and root planing (SRP) when compared to SRP alone.

The aim of this investigation was to examine the effect of SRP and locally delivered minocycline microspheres on GCF levels of ICTP and IL-1 in patients with chronic periodontitis.

**MATERIALS AND METHODS**

**Subject Population**

Forty-eight patients with moderate to severe chronic periodontitis participated in this clinical trial. These patients were required to have at least 4 teeth with probing depth measurements of 6 to 9 mm that bled upon probing. Eligible subjects had not received any periodontal therapy for a minimum of 6 months and no antibiotic treatment for at least 3 months prior to the study, and were not chronically treated (i.e., 2 weeks or more) with any medication known to affect periodontal status (e.g., phenytoin, calcium antagonists, cyclosporin, coumadin, and non-steroidal anti-inflammatory drugs). In addition, 8 periodontally healthy control subjects exhibiting no attachment loss and no radiographic bone loss were also recruited. The study protocol was approved by the Institutional Review Boards.

§ Arestin, OraPharma, Inc., Warminster, PA.
at both the University of Michigan and Stony Brook University, and informed consent was obtained in writing from each subject prior to study commencement. This was a randomized, single-masked, vehicle-controlled 6-month study with 2 parallel arms. The study presented is a substudy of a multicenter investigation recently reported by Williams et al.\(^{18}\) In addition, results derived from an additional arm (SRP alone) have recently been published.\(^{18}\) The 2 arms of the present study were: 1) SRP plus subgingival application of minocycline microspheres (SRP + M), and 2) SRP plus subgingival application of vehicle (SRP + V).

Collection of GCF was performed at baseline (prior to treatment), and at 1, 3, and 6 months. After the baseline procedures (i.e., GCF sampling and clinical measurements) were completed, SRP was performed using hand and ultrasonic instrumentation. A maximum of 2 sessions within 14 days with no time limitation was allowed to complete each subject. Local anesthetic was used at the discretion of the clinician. After SRP was completed, patients were stratified according to smoking status and randomly assigned to treatment groups. Smoking status was defined as positive or negative based upon whether the patient had used tobacco products within the last 6 months. Study treatments (minocycline microspheres or vehicle) were subgingivally applied at baseline to all sites with PD \(\geq 5\) mm. Sites in the SRP + M group were given a unit dose of 4 mg containing 1 mg of minocycline and 3 mg of polymer, while sites in the SRP + V group received 3 mg of polymer only. Study treatments were reapplied at 3 and 6 months at all sites initially treated at baseline. The subjects in the periodontally healthy control group received a prophylaxis at baseline and no other treatment throughout the trial. An outline of the study design is summarized in Table 1.

**Table 1. Study Outline**

<table>
<thead>
<tr>
<th></th>
<th>Screening</th>
<th>Baseline</th>
<th>1 Month</th>
<th>3 Months</th>
<th>6 Months</th>
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<td></td>
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</tr>
<tr>
<td>GCF samples</td>
<td>×</td>
<td>×</td>
<td>×</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Clinical parameters</td>
<td>×</td>
<td>×</td>
<td>×</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Scaling and root planing</td>
<td>×</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Treatment application</td>
<td>×</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

**GCF Sampling**

For the periodontitis patients, each subject was monitored at 8 sites at baseline (prior to SRP), and 1, 3, and 6 months after treatment. Four shallow (PD \(\leq 3\) mm at screening, no radiographic alveolar bone loss) and 4 deep (PD \(\geq 5\) mm at screening, \(\geq 3\) mm from cemento-enamel junction to bone crest) sites were monitored longitudinally in each subject for both marker levels (i.e., ICTP and IL-1). Only deep sites received subgingival application of minocycline microspheres or vehicle. ICTP and IL-1 levels were determined at different sites within each subject (i.e., 2 shallow/2 deep sites per mediator for a total of 8 sites per subject). For the periodontally healthy control subjects, GCF samples were collected from 4 randomly selected sites (no attachment loss, PD \(\leq 3\) mm) at baseline and 1, 3, and 6 months. The area around each site sampled was dried and supragingival plaque removed. GCF was collected for 30 seconds using methylcellulose strips.\(^{11}\) Each strip was placed gently into the gingival crevice until slight resistance was felt, and GCF volume was determined.\(^{14}\) Following collection and volume determination, the samples were kept on ice for transport to the laboratory and stored at \(-20^\circ\text{C}\).

**ICTP.** The laboratory procedures for analysis of ICTP samples were performed as described by Gian-nobile et al.\(^{19}\) The frozen samples were brought to room temperature, followed by elution of proteins by microcentrifugation. Levels of ICTP were determined using a radioimmunoassay technique\(^{6}\) as previously described.\(^{39}\)

**IL-1.** The IL-1 content was determined by using a commercially available enzyme-linked immunosorbent (ELISA) assay\(^{**}\) based on a double antibody sandwich technique described by Payne et al.\(^{40}\) Both ICTP and IL-1 were reported as total amounts per time of collection (pg/30-second sample). All analyses were performed with masking of the treatment groups maintained.

**Clinical Measurements**

Clinical measurements were completed at a total of 384 sites (i.e., 48 subjects \(\times 8\) sites/subject) at baseline and 1, 3, and 6 months. Examiners were masked in regards to the treatment that each subject received. The parameters recorded included: 1) probing depth (PD), measured from the gingival margin to the apical penetration of the probe tip in millimeters; 2) clinical attachment level (CAL), measured from either the cemento-enamel junction or restoration margin to the apical penetration of the probe tip in millimeters; and 3) bleeding on probing (BOP), recorded dichotomously.
as 0 or 1. All clinical measurements were performed after GCF sampling using a manual probe.†† Five examiners (from 2 test centers) performed all clinical measurements and were calibrated prior to the study to ensure adequate intra- and interexaminer reliability. Interexaminer reliability was determined using the intraclass correlation coefficient41 to compare an experienced examiner designated as the gold standard to the 5 examiners participating in this study. The intraclass correlation coefficients for probing depth and attachment level measurements ranged from 0.90 to 0.97, and 0.85 to 0.94, respectively. Intra-examiner reliability was determined utilizing the percent agreement within 1 mm between 2 passes and a weighted kappa statistic. The percent agreement within 1 mm ranged from 95.5% to 97.3% and 90.0% to 95.1% for probing depth and attachment level measurements, respectively. The weighted kappa statistic ranged from 0.92 to 0.97 and 0.88 to 0.92 for probing depth and attachment level measurements, respectively.

### Statistical Analysis

Mean values for biochemical mediators (ICTP and IL-1) were calculated for each site at all time points (baseline, 1, 3, and 6 months) and averaged within a subject and then across subjects in the 2 groups. Marker levels at each time interval were compared and analyzed at the subject level using repeated measures analysis of variance (repeated measures ANOVA). Given significance at the 0.05 level, pairwise comparisons were performed using Fisher’s protected t tests. Both within-treatment and between-treatment group analyses were performed. Clinical parameters (PD, CAL, and BOP) were compared to ICTP and IL-1 levels utilizing correlation coefficients, which were analyzed for significance using Fisher’s r to z test.

### RESULTS

#### Subject Characteristics

Characteristics of the study subjects at baseline are presented in Table 2. Overall, no significant differences were noted in demographic or clinical parameters between the vehicle and minocycline treatment groups.

#### Table 2.

**Subject Group Characteristics at Baseline***

<table>
<thead>
<tr>
<th></th>
<th>Healthy Subjects (n = 8)</th>
<th>SRP Plus Vehicle (n = 25)</th>
<th>SRP Plus Minocycline (n = 23)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age in years (range)</td>
<td>28.7 (26.2 – 34.3)</td>
<td>45.1 (30.9 – 64.9)</td>
<td>46.7 (34.9 – 70.4)</td>
</tr>
<tr>
<td>% Female</td>
<td>25</td>
<td>60</td>
<td>69</td>
</tr>
<tr>
<td>% Smokers</td>
<td>37.5</td>
<td>52.1</td>
<td>52.1</td>
</tr>
<tr>
<td>% Bleeding on probing</td>
<td>12.5</td>
<td>72.5</td>
<td>77.2</td>
</tr>
<tr>
<td>Probing depth (mean ± SE)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All sites</td>
<td>2.10 ± 0.11</td>
<td>4.28 ± 0.16</td>
<td>4.42 ± 0.18</td>
</tr>
<tr>
<td>Shallow sites</td>
<td>N/A</td>
<td>3.00 ± 0.11</td>
<td>3.04 ± 0.10</td>
</tr>
<tr>
<td>Deep sites</td>
<td>N/A</td>
<td>5.57 ± 0.14</td>
<td>5.79 ± 0.19</td>
</tr>
<tr>
<td>Attachment level (mean ± SE)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All sites</td>
<td>1.40 ± 0.12</td>
<td>4.39 ± 0.18</td>
<td>4.42 ± 0.20</td>
</tr>
<tr>
<td>Shallow sites</td>
<td>N/A</td>
<td>3.17 ± 0.14</td>
<td>3.13 ± 0.11</td>
</tr>
<tr>
<td>Deep sites</td>
<td>N/A</td>
<td>5.61 ± 0.21</td>
<td>5.71 ± 0.28</td>
</tr>
</tbody>
</table>

* No significant differences were noted in demographic or clinical parameters between the vehicle and minocycline treatment groups.

#### Table 3.

**ICTP and IL-1 Levels in Deep and Shallow Sites in Periodontal Patients and Healthy Sites in Control Subjects (pg/site ± SE)**

<table>
<thead>
<tr>
<th></th>
<th>Healthy Subjects* (n = 8)</th>
<th>SRP Plus Vehicle (n = 25)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ICTP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shallow</td>
<td>27.88 ± 9.65</td>
<td>154.21 ± 18.33</td>
</tr>
<tr>
<td>Deep</td>
<td>1.71 ± 0.76</td>
<td>207.82 ± 18.66</td>
</tr>
<tr>
<td>IL-1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shallow</td>
<td>31.76 ± 12.92</td>
<td>36.47 ± 5.45</td>
</tr>
<tr>
<td>Deep</td>
<td>1.68 ± 1.09</td>
<td>60.61 ± 9.10</td>
</tr>
</tbody>
</table>

Note: Only deep sites received local therapy.

†† PCP-UNC 15, Hu-Friedy Manufacturing Co., Chicago, IL.
were noted in demographic or clinical parameters between the minocycline and vehicle treatment groups or between sites within these groups sampled for ICTP and IL-1. Mean ages were 45.1 and 46.7 years for the vehicle and minocycline group, respectively. The percentage of smokers was 52% in each group. All subjects completed the scheduled examination sessions. A total of 1,536 samples were therefore available for analysis (8 sites per subject × 48 subjects × 4 time points), half of which received local therapy (minocycline microspheres or vehicle). The periodontally healthy control group included 6 males and 2 females, with a mean age of 28.7 years. This group was significantly younger than the chronic periodontitis groups (P < 0.05). The mean baseline PD and CAL measurements ± SE at sampled sites were 2.1 ± 0.11 mm and 1.4 ± 0.12 mm, respectively. There were 3 smokers in the group.

**ICTP and IL-1 Levels at Healthy, Shallow, and Deep Sites**

The GCF levels of ICTP and IL-1 in different site strata (i.e., healthy sites in control subjects, and shallow and deep sites in periodontal patients) were compared (Table 3). The healthy control subjects provided 128 sites for analysis (4 sites/subject × 8 subjects × 4 time points). A total of 1,536 samples were available for analysis from the periodontitis patients (768 deep sites and 768 shallow sites). Deep sites in periodontal patients contained significantly higher levels of both ICTP and IL-1 than shallow sites in the same patients (P < 0.01). Furthermore, both deep and shallow site levels of both markers in periodontal patients were significantly higher than healthy site levels in the control subjects (P < 0.01) (Table 3). These differences were statistically significant at baseline and all subsequent study time points (i.e. 1, 3, and 6 months), except for ICTP levels between deep and shallow sites in periodontal patients at the 1-month time point (P = 0.092).

**Effects of Treatment on GCF ICTP Levels**

The effects of treatment on the GCF ICTP levels in the 2 study groups are presented in Figure 1. Since only deep sites (≥5 mm PD at baseline) were treated with minocycline or vehicle, ICTP levels were stratified by site category (deep versus shallow). In deep sites, statistically significant reductions in ICTP levels were seen only at 1 month in the SRP + M group (P < 0.05). ICTP levels were reduced by 33.2% in the SRP + M group after 1 month, compared to a 17.2% reduction in the SRP plus vehicle group (Fig. 1). No significant changes in ICTP levels were seen at shallow sites in any of the treatment groups (data not shown).

**Effects of Treatment on GCF IL-1 Levels**

The effects of treatment on the GCF IL-1 levels in the 2 study groups are presented in Figure 2. In deep sites, statistically significant reductions in IL-1 levels were noted only at 1 month in the SRP + M treated sites (P < 0.05). Furthermore, at 1 month post-treatment, the SRP + M group demonstrated significantly lower IL-1 levels (P < 0.02) compared to the SRP + V group. This finding is due to a 38.0% decrease in IL-1 levels 1 month following treatment with SRP + M compared to a 9.4% increase among patients treated with SRP + V. No significant changes in IL-1 levels were observed at shallow sites in any of the treatment groups (data not shown).

*Table 3 (continued).*

**ICTP and IL-1 Levels in Deep and Shallow Sites in Periodontal Patients and Healthy Sites in Control Subjects (pg/site ± SE)**

<table>
<thead>
<tr>
<th>SRP Plus Minocycline (n = 23)</th>
<th>ICTP</th>
<th>IL-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shallow Deep</td>
<td>124.45 ± 16.76</td>
<td>39.84 ± 8.94</td>
</tr>
<tr>
<td>Shallow Deep</td>
<td>113.23 ± 20.45</td>
<td>35.54 ± 5.31</td>
</tr>
<tr>
<td>Shallow Deep</td>
<td>149.23 ± 20.12</td>
<td>50.03 ± 9.93</td>
</tr>
<tr>
<td>Shallow Deep</td>
<td>84.29 ± 12.05</td>
<td>25.81 ± 7.04</td>
</tr>
</tbody>
</table>

*Figure 1.*

ICTP levels after treatment at periodontal sites receiving local administration of minocycline microspheres. SRP + M was administered to deep sites (PD ≥ 5 mm) in 23 patients, while SRP + V was administered to deep sites in 25 patients. Note potent reductions in GCF ICTP levels at 1 month in minocycline-treated subjects (P < 0.05), with minimal effects at 3 and 6 months (NS). *Significant reduction from baseline levels (P < 0.05).*
The findings of the present study confirm a previous report by Palys et al., who demonstrated higher levels of ICTP at shallow sites in both gingivitis and periodontitis patients compared to periodontally healthy subjects. In this report, no significant differences were observed in age between the groups. Thus, it appears that levels of pyridinoline cross-links are not age dependent. Furthermore, Palys et al. noted no significant differences in ICTP levels at sites exhibiting different probing depths among a cohort of treated periodontitis patients. The findings of both the present study and the Palys et al. report suggest that ICTP levels may be related to the patient's overall level of periodontal tissue destruction and perhaps their susceptibility to periodontal diseases than to the periodontal anatomy (i.e., probing depth) at a specific site.

Significant reductions were demonstrated 1 month after treatment in GCF ICTP and IL-1 levels only in patients who received SRP plus minocycline microspheres. The reduction in ICTP was nearly twice that achieved by SRP plus vehicle, and the IL-1 reduction was approximately 4-fold. In addition, at the 1-month visit, IL-1 levels in patients treated with minocycline microspheres were significantly lower than in patients treated with vehicle. A similar trend was observed for ICTP at 1 month; however, the difference was not statistically significant. Furthermore, in the SRP plus minocycline group, CAL gains of ≥2 mm after 1 month in ICTP sites were associated with a statistically significant concurrent decrease in ICTP levels of 148.1 pg (55.9%) from baseline values (P < 0.05) (data not shown). The significant improvement noted in biochemical marker levels in the SRP + M group despite the lack of clear differences in response of clinical parameters between the 2 groups suggests that marker levels may provide greater sensitivity than clinical measurements in measuring response to therapy. It is conceivable that changes were present subclinically at the metabolic level that could not be detected by traditional clinical measures. This hypothesis is also supported by the results of correlation testing in which the associations between ICTP and IL-1 with clinical parameters were relatively weak. As stated by Lamster et al., GCF markers may provide different measures of periodontal disease activity than traditional clinical parameters. In this regard, while improvements in clinical parameters were maintained over the 6-month period, GCF levels of ICTP and IL-1 were significantly reduced only at 1 month, but elevated levels returned at 3 and 6 months. The reductions exhibited in ICTP and IL-1 in the minocycline group may be short term and therefore not present 3 months follow-
ing administration. However, these results may also reflect the need for additional treatment as SRP was provided only at baseline and not at follow-up visits. Additional studies are needed to address whether repeated administration of SRP along with minocycline microspheres is required to achieve long-term reductions in GCF ICTP and IL-1 levels. Further study is also needed to determine whether treatment regimens that reduce these markers are associated with improved patient outcomes.

The observed short-term reductions in ICTP and IL-1 levels after treatment with SRP plus minocycline may be related to both the bacteriostatic effect and MMP inhibitory activities of minocycline. Minocycline HCl is a tetracycline analog that is active against a broad spectrum of periodontal pathogens. Previous studies have demonstrated improvements in microbial parameters with the use of locally delivered minocycline compared to debridement and placebo alone. The elevated minocycline concentration achieved with this delivery system may enhance its anti-infective action to penetrate the plaque biofilm compared to systemic administration. In regards to MMP inhibition, it was demonstrated that systemic administration of 40 to 80 mg of minocycline daily led to a 45% to 80% reduction in GCF collagenase activity for at least 2 weeks after initiating treatment. GCF concentrations of minocycline after systemic administration were reported to approximate 10 μg/ml. Considering the reported sustained release concentrations of ≥340 μg/ml for 2 or more weeks achieved with the delivery vehicle utilized in this study, similar or greater anti-collagenolytic activities may have been responsible for the observed reductions in the bone-resorptive markers evaluated. In addition, the known substantive properties of tetracyclines on adhering to the root surface may aid in its extended effects.

Results from this study indicate that GCF ICTP and IL-1 levels are able to capture the short-term effects of treatment with SRP and locally administered minocycline microspheres in chronic periodontitis patients. Further longitudinal studies in larger patient populations are indicated to more thoroughly establish the utility of these markers as adjuncts to evaluate patients with periodontal diseases.

ACKNOWLEDGMENTS

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REFERENCES

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